



Convention on Biological Diversity

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CONFERENCE OF THE PARTIES TO THE CONVENTION
ON BIOLOGICAL DIVERSITY SERVING AS THE
MEETING OF THE PARTIES TO THE NAGOYA
PROTOCOL ON ACCESS TO GENETIC RESOURCES
AND THE FAIR AND EQUITABLE SHARING OF
BENEFITS ARISING FROM THEIR UTILIZATION

Fourth meeting – Part II

Montreal, Canada, 7-19 December 2022

Agenda item 10

**DECISION ADOPTED BY THE PARTIES TO THE NAGOYA PROTOCOL ON ACCESS AND
BENEFIT-SHARING**

NP-4/3. Monitoring and reporting (Article 29)

The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol,

Recalling decision NP-3/4,

Noting the desirability of developing nationally as well as globally relevant indicators for access and benefit-sharing in the context of the Kunming-Montreal Global Biodiversity Framework,

1. *Welcomes* decision 15/6 of the Conference of the Parties and agrees to maintain the synchronized national reporting cycle foreseen in decisions 14/27 and NP-3/4;

2. *Takes note* of the monitoring framework for the Kunming-Montreal Global Biodiversity Framework and its proposed indicators related to access and benefit-sharing, and welcomes the process set forth in decision 15/5;

3. *Adopts* the guidelines and format for submission of the first national report on the implementation of the Nagoya Protocol as contained in the annex to the present decision;

4. *Requests* the Executive Secretary to make the guidelines and the format for the first national report available through the Access and Benefit-sharing Clearing-House;

5. *Requests* Parties to submit a first national report on the implementation of their obligations under the Nagoya Protocol, and welcomes submissions of relevant information by non-Parties:

- (a) In an official language of the United Nations;
- (b) Through the Access and Benefit-sharing Clearing-House;
- (c) At the same time as the seventh national reports under the Convention are due;

6. *Requests* the Executive Secretary to assist Parties for which direct online submission of the national report through the Access and Benefit-sharing Clearing-House is technically not feasible to publish their reports on the Access and Benefit-sharing Clearing-House;

7. *Also requests* the Executive Secretary to consolidate information contained in first national reports and information made available by Parties through the Access and Benefit-sharing Clearing-House

for the consideration of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol at its sixth meeting as well as to make information shared by non-Parties and non-State actors, as appropriate, available for the information of the meeting, as a contribution to the second assessment and review of the effectiveness of the Protocol;

8. *Decides* to keep the format of the national report under review, based on feedback received from Parties and the experience gained and to reflect all relevant articles of the Nagoya Protocol in the reporting format;

9. *Urges* Parties to provide complete and accurate information in their national reports.

Annex

**GUIDELINES AND FORMAT FOR THE FIRST NATIONAL REPORT ON THE
IMPLEMENTATION OF THE NAGOYA PROTOCOL**

Overview

1. Article 29 of the Nagoya Protocol requires each Party to monitor the implementation of its obligations under the Protocol and to report to the Conference of the Parties serving as the meeting of the Parties on the implementation measures it has taken. National reports play an essential role in the review of the implementation of the Protocol and in assisting the Conference of the Parties serving as the meeting of the Parties in taking, within its mandate, the decisions necessary to promote effective implementation of the Protocol in accordance with Article 26, paragraph 4. National reports can also be a useful tool for countries at the national level to engage with stakeholders, assess their level of implementation of the Protocol and identify challenges in implementation and gaps and needs in terms of capacity, resources and technology.
2. Submitting a national report is one of the key obligations the Nagoya Protocol places on its Parties. As per its procedures, the Compliance Committee under the Nagoya Protocol may examine a situation where a Party fails to submit its national report pursuant to Article 29 of the Protocol.
3. The first national report on the implementation of the Nagoya Protocol is to provide an updated state of implementation of the Protocol since its entry into force or since the submission of the interim national report, as applicable to the Party.
4. Given the time required to prepare, approve and submit a national report, Parties are encouraged to start preparing their reports well before the deadline. It is recommended that Parties involve indigenous peoples and local communities and other relevant stakeholders, as appropriate, in the preparation of the report in order to ensure a participatory and transparent approach to its development.

Content and structure of the report

5. Mandatory questions are based on those provisions of the Protocol that establish obligations for its Parties. Other mandatory questions are ones necessary to contextualize other questions, or which serve as means of collecting information for the assessment and review of the effectiveness of the Nagoya Protocol. Mandatory questions and sub-questions are indicated by an asterisk.
6. The reporting format has been developed with a view to minimizing the reporting burden on countries. Many questions are multiple choice and wherever possible, a standard set of three options is provided for responding to these questions:
 - (a) **Yes**, which means that the provision can be considered fully implemented;
 - (b) **Yes, to some extent**, which means the provision can be considered partially implemented (e.g. where work is underway to meet the requirement); or
 - (c) **No**, which means the provision can be considered not yet implemented.
7. Countries are encouraged to provide brief explanations of their responses in view of contributing more detailed information to review processes under the Nagoya Protocol.
8. The reporting format groups questions by theme, as follows:
 - Part I – General information
 - Part II – Institutional structures for the implementation of the Protocol
 - Part III – Measures on access to genetic resources
 - Part IV – Measures on fair and equitable benefit-sharing
 - Part V – Measures on compliance with domestic legislation and on monitoring utilization

Part VI – Measures on compliance with mutually agreed terms

Part VII – Measures addressing special considerations

Part VIII – Measures related to indigenous peoples and local communities

Part IX – Contribution to conservation and sustainable use and benefits received

Part X – Transboundary cooperation

Part XI – Model contractual clauses, codes of conduct, guidelines and best practices and/or standards

Part XII – Awareness-raising and capacity-building

Part XIII – Technology transfer, collaboration and cooperation

Part XIV – Financial resources and resource mobilization

Part XV – Measures related to other international instruments

Part XVI – Optional additional information

Part XVII (offline submission only) – ABS Clearing House record validation

9. At the end of each part, there is a question inviting countries to provide additional information and links to relevant documentation, should they wish to do so.

Submission of the report

10. The first national report is to be submitted online through the ABS Clearing-House and in one of the six official languages of the United Nations. The ABS Clearing-House is available at <https://absch.cbd.int/>. To be able to publish its report, each Party will need to have designated a publishing authority for the ABS Clearing-House. Parties are also encouraged to use the opportunity of the preparation of their first national report to verify that their national records in the ABS Clearing-House are complete and up-to-date.

11. Any country may request technical support and assistance for the online submission of the report by contacting the Secretariat (absch@cbd.int).

12. Only when technically not feasible, and in cases where technical issues persist despite the assistance from the Secretariat, may countries submit the completed offline format of the report to the Secretariat (secretariat@cbd.int). For the report to be considered complete, all mandatory questions must be answered, and the country must include a scanned copy of the last page with the signature of the ABS Clearing-House publishing authority. Subsequently, the Secretariat will make the report available on the ABS Clearing-House.

First national report on the implementation of the Nagoya Protocol¹

Part I - General information	
1. *Country:	<Country name>
2. Time period covered by this report ²	From [month / year] to [month / year]

Part II - Institutional structures for the implementation of the Protocol	
Article 13.1 3. *Has your country designated a national focal point? ³	<input type="checkbox"/> Yes <input type="checkbox"/> No Please provide more information on your response and a summary of difficulties and challenges encountered. <Text entry>
Article 13.2 4. *Has your country designated at least one competent national authority?	<input type="checkbox"/> Yes <input type="checkbox"/> No Please provide more information on your response and a summary of difficulties and challenges encountered. <Text entry> <i>If Yes is selected above,</i> 4.1 *Has your country published information on its competent national authorities in the ABS Clearing-House? <input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No 4.2 Does your country have plans to designate additional competent national authorities in the future? <input type="checkbox"/> Yes

¹ In view of simplifying this format, questions have been kept concise to the extent possible. Countries are encouraged to refer to the full text of the Nagoya Protocol (available at <https://www.cbd.int/abs/resources/protocol.shtml>) as needed.

² For countries that submitted an interim national report on the implementation of the Nagoya Protocol, the first national report should cover the time elapsed since the submission of the interim national report (e.g. from 2 November 2017 to 30 June 2025). For countries that were not required to submit an interim national report, the first national report should cover the time period since the entry into force of the Nagoya Protocol for the country.

³ To designate a national focal point for access and benefit-sharing, countries should send an e-mail to the Secretariat (secretariat@cbd.int), attaching an official letter addressed to the Executive Secretary with the necessary contact information or the completed designation form available for download at <https://www.cbd.int/abs/common-formats/en/ABSCH-NFP-en.doc>. Contact information of an existing national focal point may be updated by notifying the Secretariat by e-mail (secretariat@cbd.int).

	<input type="checkbox"/> No
Article 17 5. *Has your country designated at least one checkpoint?	<input type="checkbox"/> Yes <input type="checkbox"/> No Please provide more information on your response and a summary of difficulties and challenges encountered. <Text entry> <i>⌞If Yes is selected above,</i> 5.1 *Has your country published information on its checkpoints in the ABS Clearing-House? <input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No 5.2 Does your country have plans to designate additional checkpoints in the future? <input type="checkbox"/> Yes <input type="checkbox"/> No
6. Please provide any additional information.	<Text entry> <i>and/or <URL and website name></i> <i>and/or <Attachment></i>

Part III – Measures on access to genetic resources (Article 6) ⁴	
<p>7. *Has your country established legislative, administrative or policy measures on ABS?</p>	<p> <input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No </p> <p>Please explain your response. <Text entry></p> <p><i>If Yes or Yes, to some extent is selected above,</i></p> <p>7.1 *Has your country published information on its legislative, administrative or policy measures in the ABS Clearing-House?</p> <p> <input type="checkbox"/> Yes, all information is published <input type="checkbox"/> Yes, some information is published <input type="checkbox"/> No </p>
<p>Article 6.1</p> <p>8. In your country, is access to genetic resources subject to prior informed consent?</p>	<p> <input type="checkbox"/> Yes, in all cases <input type="checkbox"/> Yes, in some cases <input type="checkbox"/> No, my country has determined that access to its genetic resources is not subject to prior informed consent </p> <p>Please explain your response. <Text entry></p>
<p><i>If you answered No to question 8, please skip questions 9 to 14, and continue to Part IV.</i></p>	
<p>Article 6.3 (b)</p> <p>9. * Does your country have fair and non-arbitrary rules and procedures on accessing genetic resources?</p>	<p> <input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No </p> <p>Please explain your response. <Text entry></p>
<p>Article 6.3 (c)</p> <p>10. * Does your country provide information on how to apply for prior informed consent?</p>	<p> <input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No </p> <p>Please explain your response.</p>

⁴ Questions on the provisions of Article 6 related to indigenous peoples and local communities are found in Part VIII of this reporting format.

	<p><Text entry></p> <p><i>If Yes or Yes, to some extent is selected above,</i></p> <p>10.1 Has your country published information on how to apply for PIC on the ABS Clearing-House through the ABS Procedures common format?⁵</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>
<p>Article 6.3 (d)</p> <p>11. *Does your country provide for a clear and transparent written decision by a competent national authority?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.⁶</p> <p><Text entry></p>
<p>Article 6.3 (e)</p> <p>12. *Does your country provide for the issuance of a permit or its equivalent at the time of access?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.⁷</p> <p><Text entry></p> <p><i>If Yes or Yes, to some extent is selected above,</i></p> <p>12.1 *How many permits (or their equivalent) has your country issued during the reporting period? If no permits were issued, please indicate 0.</p> <p><Numerical value></p> <p>Additional information.</p> <p><Text entry></p> <p>12.2 *Has your country published relevant information on permits or their equivalent as internationally recognized certificates of compliance to the ABS Clearing-House?</p> <p><input type="checkbox"/> Yes, in all cases</p> <p><input type="checkbox"/> Yes, in some cases</p>

⁵ ABS Clearing-House common formats are available on the Dashboard at <https://absch.cbd.int/register> (login required).

⁶ This could include information on the type of written decision provided (e.g. licence, contract, resolution, access or export permit), or information on progress made towards implementing this provision of the Protocol.

⁷ This could include information on whether permits are issued for all types of genetic resources in the country, on the format of the permit or equivalent, or information on progress made towards implementing this provision of the Protocol.

	<input type="checkbox"/> No <i>If Yes, in some cases or No is selected, please explain your response:</i> <Text entry>
Article 6.3 (g) 13. *Does your country have rules and procedures for requiring and establishing mutually agreed terms?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No Please explain your response. ⁸ <Text entry> <i>If Yes or Yes, to some extent is selected above,</i> 13.1 Has your country published National Model Contractual Clauses to the ABS Clearing-House? ⁹ <input type="checkbox"/> Yes <input type="checkbox"/> No
14. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.	<Text entry> <i>and/or</i> <URL and website name> <i>and/or</i> <Attachment>

Part IV - Measures on fair and equitable benefit-sharing (Article 5)	
Article 5.3 15. *Does your country have measures in place requiring that benefits from the utilization of genetic resources, as well as subsequent applications and commercialization, be shared with the Party providing such resources?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No Please explain your response. ¹⁰ <Text entry>
Article 5.2 16. *Does your country have measures in place aiming to ensure that benefits from the utilization of genetic	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No

⁸ This could include information on the measures dealing with this aspect and on the process for establishing mutually agreed terms, or information on the progress made to implement this provision of the Protocol.

⁹ ABS Clearing-House common formats are available on the Dashboard at <https://absch.cbd.int/register> (login required).

¹⁰ This could include information on the measures dealing with the sharing of benefits from the utilization, in your country, of genetic resources provided by other countries and examples of how the measures are applied, or information on the progress made to implement this provision of the Protocol.

resources held by indigenous peoples and local communities are shared with the indigenous peoples and local communities concerned?	Please explain your response. ¹¹ <Text entry>
Article 5.5 17. *Has your country taken measures in order that benefits arising from the utilization of traditional knowledge associated with genetic resources are shared with indigenous peoples and local communities holding such knowledge?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No Please explain your response. ¹² <Text entry>
18. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.	<Text entry> and/or <URL and website name> and/or <Attachment>

Part V - Measures on compliance with domestic legislation or regulatory requirements on ABS (Article 15 and Article 16) and monitoring the utilization of genetic resources (Article 17)

Article 15 19. *Has your country taken appropriate, effective and proportionate measures to provide that genetic resources utilized within its jurisdiction have been accessed in accordance with prior informed consent and that mutually agreed terms have been established as required by the domestic ABS legislation or regulatory requirements of other Parties?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No Please explain your response. ¹³ <Text entry> <i>If Yes or Yes, to some extent is selected above,</i> 19.1 *Has your country encountered and addressed situations of non-compliance with these measures? <input type="checkbox"/> Yes <input type="checkbox"/> No Additional information. <Text entry>
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¹¹ This could include information on measures in place to facilitate directing benefits to indigenous peoples and local communities or information on the progress made to implement this provision of the Protocol.

¹² This could include information on the measures taken or information on the progress made to implement this provision of the Protocol.

¹³ This could include information on the measures for monitoring domestic utilization of genetic resources accessed from other countries, explanation of how the measures are appropriate, effective or proportional, or information on progress made towards implementing this provision of the Protocol.

	<p>19.2 *Has your country cooperated with other Parties in specific cases of alleged violation of ABS measures relating to genetic resources?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Additional information.</p> <p><Text entry></p>
<p>Article 16</p> <p>20. *Has your country taken appropriate, effective and proportionate measures to provide that traditional knowledge associated with genetic resources utilized within its jurisdiction has been accessed with the prior informed consent or approval and involvement of indigenous peoples and local communities and that mutually agreed terms have been established in accordance with the domestic requirements of the Party where such indigenous peoples and local communities are located?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.</p> <p><Text entry></p> <p><i>If Yes or Yes to some extent is selected,</i></p> <p>20.1 *Has your country encountered and addressed situations of non-compliance with these measures?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Additional information.</p> <p><Text entry></p> <p>20.2 *Has your country cooperated with other Parties in specific cases of alleged violation of ABS measures relating to traditional knowledge associated with genetic resources?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Additional information.</p> <p><Text entry></p>
<p>Article 17.1(a)</p> <p>21. *Does your country require users of genetic resources to provide the information referred to in</p>	<p><input type="checkbox"/> Yes, in all cases</p> <p><input type="checkbox"/> Yes, in some cases</p> <p><input type="checkbox"/> No</p>

<p>Article 17.1 (a)(i)¹⁴ to its designated checkpoint/s?</p>	<p>Please explain your response.¹⁵</p> <p><Text entry></p> <p><i>If Yes, in all cases or Yes, in some cases is selected above,</i></p> <p>21.1 *Have your designated checkpoint/s collected or received information from users during the reporting period?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><i>If Yes is selected,</i></p> <p>21.2 *Has your country published the information collected or received to the ABS Clearing-House through the Checkpoint Communiqué common format?¹⁶</p> <p><input type="checkbox"/> Yes, in all cases</p> <p><input type="checkbox"/> Yes, in some cases</p> <p><input type="checkbox"/> No</p> <p><i>If Yes, in some cases or No is selected, please explain your response: <Text entry></i></p> <p>21.3 *Has your country provided the information referred to in Article 17.1 (a)(i) to relevant national authorities and to the Party providing prior informed consent through other means than the ABS Clearing-House?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>
<p>Article 17.1(b)</p> <p>22. *Has your country taken measures to encourage users and providers to include provisions in mutually agreed terms to share information on the implementation of such terms?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.¹⁷</p> <p><Text entry></p>

¹⁴ Information related to prior informed consent, to the source of the genetic resource, to the establishment of mutually agreed terms and/or to the utilization of genetic resources.

¹⁵ This could include information on the effectiveness of checkpoints and the functions assigned to them.

¹⁶ ABS Clearing-House common formats are available on the Dashboard at <https://absch.cbd.int/register> (login required).

¹⁷ This could include information on the measures taken, how they are applied, or information on progress made towards implementing this provision of the Protocol.

<p>Article 17.1 (c)</p> <p>23. *Does your country encourage the use of cost-effective communication tools and systems to monitor utilization and enhance transparency?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.¹⁸</p> <p><Text entry></p>
<p>24. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.</p>	<p><Text entry></p> <p>and/or <URL and website name></p> <p>and/or <Attachment></p>

<p>Part VI - Measures on compliance with mutually agreed terms (Article 18)¹⁹</p>	
<p>Article 18.1</p> <p>25. *Is your country encouraging the inclusion of provisions on dispute resolution in mutually agreed terms?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.</p> <p><Text entry></p>
<p>Article 18.2</p> <p>26. *Does your country ensure that opportunity to seek recourse is available under your legal systems in case of disputes arising from mutually agreed terms?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.</p> <p><Text entry></p>
<p>Article 18.3 (a)</p> <p>27. *Has your country taken measures regarding access to justice?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.</p> <p><Text entry></p>

¹⁸ This could include information on how your country is encouraging the use of cost-effective communication tools and systems or on progress made towards implementing this provision of the Protocol. Links to relevant online tools may be provided in response to question 24.

¹⁹ When filling this section, Parties are encouraged to coordinate at national level to draw from the expertise of other institutions dealing with contractual law, private international law or domestic measures related to access to justice (decision NP-3/1, paragraph 22).

<p>Article 18.3 (b)</p> <p>28. *Has your country taken measures regarding utilization of mechanisms on mutual recognition and enforcement of foreign judgements and arbitral awards?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.</p> <p><Text entry></p>
<p>29. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.</p>	<p><Text entry></p> <p>and/or <URL and website name></p> <p>and/or <Attachment></p>

Part VII - Measures addressing special considerations (Article 8)	
<p>Article 8(a)</p> <p>30. *In the development and implementation of its ABS legislation or regulatory requirements, has your country created conditions to promote and encourage research which contributes to the conservation and sustainable use of biodiversity including through simplified measures on access for non-commercial research purposes?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable²⁰</p> <p>Please explain your response.</p> <p><Text entry></p>
<p>Article 8(b)</p> <p>31. *In the development and implementation of its ABS legislation or regulatory requirements, has your country paid due regard to cases of present or imminent emergencies that threaten or damage human, animal or plant health?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.</p> <p><Text entry></p> <p><i>↳ If Yes or Yes, to some extent is selected above,</i></p> <p>31.1 In such cases, has your country considered the need for expeditious access to genetic resources and expeditious fair and equitable sharing, including access to affordable treatments by those in need?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>

²⁰ This response option may be appropriate for countries that have determined not to require prior informed consent for access to their genetic resources.

	Additional information. <Text entry>
Article 8(c) 32. *In the development and implementation of its ABS legislation or regulatory requirements, has your country considered the importance of genetic resources for food and agriculture and their special role for food security?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No Please explain your response. <Text entry>
33. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.	<Text entry> <i>and/or</i> <URL and website name> <i>and/or</i> <Attachment>

Part VIII – Measures related to indigenous peoples and local communities (Articles 6, 7 and 12)	
34. *Are there indigenous peoples and local communities in your country?	<input type="checkbox"/> Yes <input type="checkbox"/> No Additional information. <Text entry>
If you answered No to question 34, please skip questions 35 to 41, and continue to Part IX.	

<p>Articles 6.2 and 6.3(f)</p> <p>35. *Do indigenous peoples and local communities have the established right to grant access to genetic resources according to your country's domestic law?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response and if applicable, provide information on the domestic law establishing rights of indigenous peoples and local communities to grant access to genetic resources.</p> <p><Text entry></p> <p><i>If Yes or Yes, to some extent is selected above,</i></p> <p>35.1 *Does your country have measures in place with the aim of ensuring that the prior informed consent or approval and involvement of indigenous peoples and local communities is obtained for access to genetic resources?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>35.2 *Has your country set out criteria and/or process for obtaining prior informed consent or approval and involvement of indigenous peoples and local communities for access to genetic resources?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>
<p>Article 7</p> <p>36. *Has your country taken measures with the aim of ensuring that traditional knowledge associated with genetic resources that is held by indigenous peoples and local communities within your country is accessed with their prior informed consent, or their approval and involvement, and that mutually agreed terms have been established?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.</p> <p><Text entry></p>
<p>Article 12.1</p> <p>37. *Has your country considered indigenous peoples and local communities' customary laws, community protocols and procedures with respect to traditional knowledge associated with genetic resources in implementing the Protocol?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.</p> <p><Text entry></p>
<p>Article 12.2</p> <p>38. *Has your country established mechanisms, with the effective</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p>

participation of indigenous peoples and local communities concerned, to inform potential users of traditional knowledge associated with genetic resources about their obligations?	<input type="checkbox"/> No Please explain your response. ²¹ <Text entry>
Article 12.3 39. *Has your country supported the development by indigenous peoples and local communities, including women within these communities, of community protocols, minimum requirements for mutually agreed terms and model contractual clauses?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No Please explain your response. ²² <Text entry>
Article 12.4 40. *Has your country endeavoured not to restrict the customary use and exchange of genetic resources and associated traditional knowledge within and among indigenous peoples and local communities?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No Please explain your response. ²³ <Text entry>
41. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.	<Text entry> <i>and/or</i> <URL and website name> <i>and/or</i> <Attachment>

Part IX - Contribution to conservation and sustainable use (Article 9) and benefits received	
Article 9 42. *Does your country encourage users and providers to direct benefits arising from the utilization of genetic resources towards the conservation of biological diversity and sustainable use of its components?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No Please explain your response. <Text entry>
43. *Has implementation of the Nagoya Protocol contributed to conservation	<input type="checkbox"/> Yes

²¹ This could include information on the mechanisms established and which means were deployed to ensure effective participation of indigenous peoples and local communities, including women within these communities, in the development of such mechanisms.

²² This could include further information on how your country is supporting the development by indigenous peoples and local communities of community protocols, minimum requirements for mutually agreed terms and/or model contractual clauses. Links to such resources can be provided in response to question 41.

²³ This could include information on how ABS measures address this aspect.

<p>and sustainable use of biodiversity in your country?</p>	<p><input type="checkbox"/> No</p> <p>Please explain your response and provide, if available, supporting data or examples of impact at national and/or local levels.</p> <p><Text entry></p>
<p>44. *Has your country received monetary benefits from granting access to genetic resources for their utilization and/or access to traditional knowledge associated with genetic resources during the reporting period?</p>	<p><input type="checkbox"/> Yes (please select all those that apply):</p> <ul style="list-style-type: none"> <input type="checkbox"/> Access fees and/or up-front payments <input type="checkbox"/> Milestone payments, royalties and/or license fees <input type="checkbox"/> Research funding, grants and/or scholarships <input type="checkbox"/> Contributions to a national or local fund <input type="checkbox"/> Salaries <input type="checkbox"/> Joint ventures <input type="checkbox"/> Other (please specify): <Text entry> <p><input type="checkbox"/> No / Not applicable</p> <p><i>↳ If Yes is selected above,</i></p> <p>*44.1 Please provide the amount of monetary benefits received during the reporting period (in United States dollars) from granting access to genetic resources and/or traditional knowledge associated with genetic resources.</p> <p><Numerical value in USD></p> <p>Additional information.²⁴</p> <p><Text entry></p>
<p>45. *Has your country received non-monetary benefits from granting access to genetic resources for their utilization and/or access to traditional knowledge associated with genetic resources during the reporting period?</p>	<p><input type="checkbox"/> Yes (please select all those that apply):</p> <ul style="list-style-type: none"> <input type="checkbox"/> Sharing of information, research results <input type="checkbox"/> Scientific collaboration and/or joint publications <input type="checkbox"/> Access to and transfer of technology <input type="checkbox"/> Capacity-building, capacity development and/or trainings <input type="checkbox"/> Sustainable development benefits (such as improved food security, livelihood security, etc.) <input type="checkbox"/> Other (please specify): <Text entry> <p><input type="checkbox"/> No / Not applicable</p>

²⁴ This could include information on who were the main recipients of monetary benefits in the country, and any available disaggregation of those recipients by gender or affiliation, or information on the impact of benefits received.

	Additional information. ²⁵ <Text entry>
46. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.	<Text entry> <i>and/or</i> <URL and website name> <i>and/or</i> <Attachment>

Part X – Transboundary cooperation (Article 11)	
Article 11.1 47. *Has your country endeavoured to cooperate, with the involvement of indigenous peoples and local communities concerned where applicable, in instances where the same genetic resources are found <i>in situ</i> within the territory of more than one Party?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No <input type="checkbox"/> Not applicable ²⁶ Please explain your response. ²⁷ <Text entry>
Article 11.2 48. *Has your country endeavoured to cooperate in instances where the same traditional knowledge associated with genetic resources is shared by one or more indigenous peoples and local communities in several Parties?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No <input type="checkbox"/> Not applicable ²⁸ Please explain your response. ²⁹ <Text entry>
49. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.	<Text entry> <i>and/or</i> <URL and website name> <i>and/or</i> <Attachment>

²⁵ This could include information on who were the main recipients of non-monetary benefits in the country, any available disaggregation of those recipients by gender or affiliation, or information on the impact of benefits received.

²⁶ This response option may be appropriate for countries that have determined not to require prior informed consent for access to their genetic resources or that do not have genetic resources which are also found *in situ* in other countries.

²⁷ This could include information on cases of cooperation and on the involvement of indigenous peoples and local communities in such cases where applicable.

²⁸ This response option may be appropriate for countries that indicated there are no indigenous peoples and local communities in their country (Q34).

²⁹ This could include information on cases of cooperation and on the involvement of indigenous peoples and local communities in such cases.

Part XI - Model contractual clauses, codes of conduct, guidelines and best practices and/or standards (Articles 19 and 20)	
Article 19 50. *Has your country encouraged other actors to develop, update and use model contractual clauses for mutually agreed terms?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No Please explain your response. ³⁰ <Text entry>
Article 20 51. *Has your country encouraged other actors to develop, update and use of codes of conduct, guidelines and best practices or standards?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No Please explain your response. ³¹ <Text entry>
52. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.	<Text entry> and/or <URL and website name> and/or <Attachment>

Part XII - Awareness-raising and capacity (Articles 21 and 22)	
Article 21 53. *Has your country taken measures to raise awareness of the importance of genetic resources and traditional knowledge associated with genetic resources and related access and benefit-sharing issues?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No Please explain your response and, if applicable, provide a summary of activities carried out during the reporting period. <Text entry> L If Yes or Yes, to some extent is selected, 53.1 Has your country adopted an awareness-raising strategy for the Nagoya Protocol on ABS? ³² <input type="checkbox"/> Yes

³⁰ This could include information on your country's efforts in this regard, or references to specific model contractual clauses developed by other actors in your country.

³¹ This could include information on your country's efforts in this regard, or references to specific codes of conduct, guidelines and best practices or standards developed by other actors in your country.

³² This is encouraged in the awareness-raising strategy for the Nagoya Protocol ([decision NP-1/9](#)).

	<input type="checkbox"/> No Additional information. <Text entry>
Article 22 54. *Has your country taken measures to build and develop its capacity and strengthen its human resources and institutional capacities to effectively implement the Protocol?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No Please explain your response and, if applicable, provide a summary of activities carried out during the reporting period. ³³ <Text entry> <i>If Yes or Yes, to some extent is selected above,</i> 54.1 Please provide data, if available, on capacity-building activities carried out during the reporting period. - Number of people trained: <Numerical value> - Number of workshops/trainings: <Numerical value> - Number of active capacity-building and development initiatives: <Numerical value> Additional information. <Text entry>
Article 22 55. *Has your country taken measures to build and develop the capacity and strengthen human resources and institutional capacities of other Parties ³⁴ to effectively implement the Protocol?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No Please explain your response and, if applicable, provide a summary of activities carried out during the reporting period. ³⁵ <Text entry>
56. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.	<Text entry> and/or <URL and website name> and/or <Attachment>

³³ Countries may wish to refer to the strategic framework for capacity-building and development supporting the implementation of the Nagoya Protocol (adopted in [decision NP-1/8](#)) and its Appendix II, which contains an indicative list of activities.

³⁴ Developing country Parties, in particular the least developed countries and small island developing States among them, and Parties with economies in transition.

³⁵ Countries may wish to refer to the strategic framework for capacity-building and development supporting the implementation of the Nagoya Protocol (adopted in [decision NP-1/8](#)) and its Appendix II, which contains an indicative list of activities.

Part XIII - Technology transfer, collaboration and cooperation (Article 23)	
Article 23 57. *Has your country collaborated and cooperated in technical and scientific research and development programmes related to ABS during the reporting period?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No Please explain your response. <Text entry>
58. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.	<Text entry> and/or <URL and website name> and/or <Attachment>

Part XIV - Financial resources (Article 25) and resource mobilization	
Article 25 59. *Has your country made financial or other resources available to other Parties for the purpose of implementing the Protocol during the reporting period?	<input type="checkbox"/> Yes <input type="checkbox"/> No Additional information. <Text entry>
Article 25 60. *Has your country received financial or other resources from other Parties or financial institutions for the purpose of implementing the Protocol during the reporting period?	<input type="checkbox"/> Yes (please select all those that apply): <div style="margin-left: 20px;"> <input type="checkbox"/> From other Parties (please specify): <Text entry> <input type="checkbox"/> From the Global Environmental Facility (GEF) <input type="checkbox"/> From financial institutions other than the GEF (please specify): <Text entry> <input type="checkbox"/> From other sources (please specify): <Text entry> </div> <input type="checkbox"/> No Additional information. <Text entry> L If Yes is selected above, 60.1 Please provide the amount of resources received (in United States dollars) during the reporting period. <Numerical value>

<p>61. Has your country established a mechanism for budgetary allocations of funds for the operation of your national ABS framework?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No</p> <p>Please explain your response. <Text entry></p>
<p>62. Does your country have full-time staff working in functions directly related to the implementation of the Nagoya Protocol? If so, how many?</p>	<p><input type="checkbox"/> Yes (please specify):</p> <p style="padding-left: 40px;"> <input type="checkbox"/> One <input type="checkbox"/> Less than three <input type="checkbox"/> Between 3 and 5 <input type="checkbox"/> Between 5 and 10 <input type="checkbox"/> 10 or more </p> <p><input type="checkbox"/> No</p> <p>Please provide further information on your response.³⁶ <Text entry></p>
<p>63. Please provide information on experiences related to the mobilization of resources in support of the implementation of the Protocol.</p>	<p><Text entry></p>
<p>64. Please provide information on the status of funds mobilized in support of the implementation of the Protocol.</p>	<p><Text entry></p>
<p>65. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.</p>	<p><Text entry> and/or <URL and website name> and/or <Attachment></p>

Part XV – Measures related to other international instruments (Article 4)	
<p>66. Is your country a Party to a specialized international access and benefit-sharing instrument?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>└ If Yes is selected above,</p> <p style="padding-left: 40px;">66.1 Has your country adopted measures to implement this instrument?</p>

³⁶ This could include information on whether funding for staff positions is secured long-term or on a project basis.

	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No Please provide further information on your response. <Text entry>
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Part XVI – Optional additional information	
67. Please provide any other relevant information that may not have been addressed elsewhere in the report.	<Text entry> and/or <URL and website name> and/or <Attachment>
68. Please provide any comments or suggestions that you may have regarding the format of this report or the reporting guidelines.	<Text entry>
69. Notes: ³⁷	<Text entry>

Part XVII (offline submission only) – ABS Clearing-House record validation	
<i>Parties are invited to submit this report online through the ABS Clearing-House.</i> <i>Only if technically not feasible, offline reports may be submitted in MS Word format, together with a scanned copy of this page signed by the ABS Clearing-House publishing authority, by e-mail to secretariat@cbd.int. For the report to be considered complete, all mandatory questions must be answered. If your country has not yet designated a publishing authority, please attach the appropriate designation form.³⁸</i>	
*Country:	<Country name>
*Name of the ABS-CH publishing authority:	<Text entry>
*Date:	<YYYY-MM-DD>
<i>I hereby confirm that the above information is correct and agree to its inclusion in the ABS Clearing-House.</i>	
*Signature of the ABS-CH publishing authority:	

³⁷ The field “Notes” is for personal reference and can be seen only when the record is being edited.

³⁸ The designation form for the publishing authority and national authorized users for the ABS Clearing-House is available for download at <https://www.cbd.int/abs/common-formats/en/ABSCH-PA-NAU-en.doc>. The ABS national focal point may also complete this process online through the User Management function available on the Dashboard at <https://absch.cbd.int/register> (login required).